



Member of VTC Group
VTC 機構成員

Speakers 講師



Mr. Jimlian Chan, CPA

As the Hong Kong Money Service Operators Association's Compliance Advisor, Mr. Chan has over 16 years of experience in accounting, auditing, taxation, company secretarial and business consulting etc, of which includes more than 10 years of experience providing professional accounting and consulting services for money service industry. Mr. Chan is also the Compliance Officer and Money Laundering Reporting Officer of a number of licensed money changers and has extensive experience in compiling compliance policies and procedures as well as providing relevant training activities.

陳振廉先生 CPA

陳先生為香港金錢服務業協會合規顧問，擁有超過16年會計、審計、稅務、公司秘書及商業顧問等經驗，當中包括超過10年對金錢服務業的專業會計及顧問服務經驗。陳先生亦為多間金錢服務經營者的合規主任及洗錢報告主任、編寫合規手冊程序，具備豐富培訓經驗。

Enquiry 查詢

Ms. Polly Tam 談小姐

Tel 電話: 2836 1822

Email 電郵: pollytam@vtc.edu.hk

Anti-Money Laundering Course for Money Service Operators - Customer Due Diligence and Case Studies 金錢服務經營者打擊洗錢課程 - 客戶盡職審查及個案分析

Co-organizer 合辦機構



Sponsor 贊助機構



Programme Objectives 課程目標

This programme, which is jointly organized with the Hong Kong Money Service Operators Association*, is designed for money service operators so as to enable them to better understand the latest statutory and regulatory requirements regarding Customer Due Diligence of Anti-Money Laundering and Counter-Terrorist Financing. This will also raise participants' awareness of the importance of Customer Due Diligence so that they can help to detect irregular or suspicious financial activities.

本課程由本院與香港金錢服務業協會*合辦。旨在幫助金錢服務經營者更了解《打擊洗錢及恐怖分子資金籌集（金融機構）條例》及《打擊洗錢及恐怖分子資金籌集指引（金錢服務經營者適用）》的法例要求，提高從業員對客戶盡職審查的防範意識，加深對客戶的了解及偵察異常或可疑活動，藉此提昇金錢服務經營者的合規水平。

*About Hong Kong Money Service Operators Association (MSOA) 香港金錢服務業協會簡介

Hong Kong Money Service Operators Association Limited as a non-profit organization, MSOA aims to represent and protect the interests of money service operators, maintain communication with government departments, strive to assist Hong Kong to align with requirements set out by international organizations, and at the same time provide members a platform to share market information and experiences as well as to provide workshops and seminars on relevant law and regulations for working and non-working force.

香港金錢服務業協會有限公司為一個非牟利機構，協會旨在代表和保障金錢服務業經營者權益，與政府部門保持溝通，竭力協助香港符合國際相關組織要求，同時提供一個讓會員分享市場資訊和經驗的平台，並提供條例教學及講座，供在職及非在職人士參加。

Who Should Attend 適合人士

This course will be of interest to money service practitioners and other related parties

從事金錢服務業及其相關人士

Contents 課程內容

- Brief introduction to Anti-Money Laundering and Counter-Terrorist Financing (Financial Institutions) Ordinance and the Guideline on Anti-Money Laundering and Counter-Terrorist Financing (For Money Service Operators)
- When Customer Due Diligence (CDD) should be conducted
- CDD requirement on individual customers and differences of that between Hong Kong residents and non-Hong Kong residents
- CDD requirement on corporate customers and differences of that for various modes of incorporation, including local and overseas companies
- Definition of beneficial owner
- When Simplified Customer Due Diligence should be conducted
- When Enhanced Customer Due Diligence should be conducted
- Ways to define Politically Exposed Person (PEP)

- Understanding tools for background check
- Risk assessment
- Understand related penalties
- How to identify Suspicious Transactions
- Discuss and analyze CDD cases
- 簡介《打擊洗錢及恐怖分子資金籌集（金融機構）條例》及《打擊洗錢及恐怖分子資金籌集指引（金錢服務經營者適用）》
- 何時需進行客戶盡職審查
- 講述個人客戶的盡職審查要求，對香港居民客戶及非香港居民客戶的要求分別
- 講述公司客戶的盡職審查要求，對以不同形式成立的公司客戶的要求分別，包括本地及海外註冊公司
- 認識實益擁有人的定義
- 何時可進行簡化的客戶盡職審查
- 何時需要進行更嚴格的客戶盡職審查
- 如何界定政治人物
- 認識客戶背景檢查工具
- 風險情況評估
- 認識相關罰則
- 如何識別可疑交易
- 研討有關客戶盡職審查的個案

Medium of Instruction 教學語言

Cantonese supplemented with Chinese written materials

廣東話輔以中文講義

Code 編號	Programme Information 課程資料	Fee 費用
PE4200051	金錢服務經營者打擊洗錢課程 - 客戶盡職審查及個案分析 Anti-Money Laundering Course for Money Service Operators - Customer Due Diligence and Case Studies 日期：27.11.14 (Thu) 時間：7:30pm - 9:30pm 時數：2小時	HK\$200 Or HK\$100* (*licensed money service operators only)

*** FeeDiscount:**

The fee for licensed money service operators is HK\$100 per person. To verify the eligibility to the special fee, licensed money service operators must enroll in the course through the Hong Kong Money Services Association at Tel: 3176 2004, Email: info@msoa.hk, Fax: 3010 8582 or its office address: A2/F, Dolford Mansion, 1-3 Chatham Court, Tsim Sha Tsui, Kowloon.

學費優惠：

金錢服務經營者報讀本課程只須每位HK\$100。惟必須經由香港金錢服務業協會報名，以核實資格。

報名可聯絡香港金錢服務業協會

電話：31762004、傳真：30108582、電郵：info@msoa.hk

地址：九龍尖沙咀漆咸圍1-3號多福大廈2樓A室

Speakers 講師



Mr. Vincent Tse, AHKIB, CFA, CPA, FCCA, MBA

Vincent is the founding Chief Executive Officer of Hong Kong Money Service Operators Association which specializes in serving the Hong Kong money service industry. He has more than 16 years of experience in advisory, finance and banking services. With extensive expertise in finance and statutory compliance, he has held senior position in the advisory practice in one of the global big four accounting firms working with clients mainly from regulated industries. He holds a Bachelor's degree in Business Administration (Finance) and a Master's degree in Business Administration from two reputed universities in Hong Kong respectively. He is also a Chartered Financial Analyst, an Associate of the Hong Kong Institute of Bankers, a member of Hong Kong Institute of Certified Public Accountants and a fellow member of the Association of Chartered Certified Accountants. In addition, he was invited as Speaker in the ACAMS 6th Annual AML & Financial Crime Conference Asia Pacific organized by the Association of Certified Anti-Money Laundering Specialists ("ACAMS") this year.

謝天能先生 AHKIB, CFA, CPA, FCCA, MBA

謝先生為香港金錢服務業協會創會行政總裁。謝先生擁有超過16年會計諮詢、金融及銀行經驗，並曾任職於全球四大會計師事務所，主要職責是審查客戶的經營業績，提供分析和諮詢服務。謝先生分別於香港兩大著名大學取得工商管理（財務學）學士學位及工商管理碩士學位，謝先生亦取得特許金融分析師資格，以及為香港銀行學會會員，並同時為香港會計師公會會員及特許公認會計師公會資深會員。此外，本年初謝先生獲邀前往馬來西亞出席由「國際公認反洗錢師協會」舉辦的第六屆反洗錢及金融犯罪亞太區年度會議，並擔任講師。