

Anti-Money Laundering Course for Money Service Operators

金錢服務經營者打擊洗錢課程

Speakers 講師



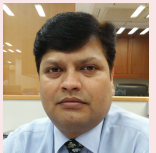
謝天能先生 AHKIB, CFA, CPA, FCCA, MBA

謝先生為香港金錢服務業協會創會行政總裁。謝先生擁有超過16年會計諮詢、金融及銀行經驗。此外，去年初謝先生獲邀前往馬來西亞出席由「國際公認反洗錢師協會」舉辦的第六屆反洗錢及金融犯罪亞太區年度會議，並擔任講師。



陳振廉先生 CPA

陳先生擁有超過16年會計、審計、稅務、公司秘書及商業顧問等經驗，當中包括超過10年對金錢服務業的專業會計及顧問服務經驗。陳先生亦為多間金錢服務經營者的合規主任及洗錢報告主任，編寫合規手冊程序，具備豐富培訓經驗。



Mr. Dheeraj Bajpai

Mr. Dheeraj is the in-house Compliance Chief of City Foreign Exchange Ltd (CFEL). He has a vast experience of over 8 years in the MSO business and handling of day to day compliance related issues. He provides practical advice, ideas and strategies to identify the fraudulent activity, Customer Due-Diligence and other regulatory requirements. He is also responsible for AML training, involving in transaction monitoring as well as the filing of STRs for all Sub agents of Western Union under CFEL.



Member of VTC Group
VTC 機構成員

Co-organizer 合辦機構



HONG KONG
MONEY SERVICE OPERATORS
ASSOCIATION
香港金錢服務業協會

Sponsor 贊助機構

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Programme Objectives 課程目標

This programme, which is jointly organized with the Hong Kong Money Service Operators Association*, is designed for money service operators so as to enable them to better understand the latest statutory and regulatory requirements regarding Customer Due Diligence of Anti-Money Laundering and Counter-Terrorist Financing. This will also raise participants' awareness of the importance of Customer Due Diligence and On-going Monitoring so that they can help to detect irregular or suspicious financial activities.

本課程由本院與香港金錢服務業協會*合辦。旨在幫助金錢服務經營者更了解《打擊洗錢及恐怖分子資金籌集（金融機構）條例》及《打擊洗錢及恐怖分子資金籌集指引（金錢服務經營者適用）》的要求，提高從業員對客戶盡職審查及持續監察的意識，加深對客戶的了解及偵察異常或可疑活動，藉此提升金錢服務經營者的合規水平。

*About Hong Kong Money Service Operators Association 香港金錢服務業協會簡介 (MSOA)

Hong Kong Money Service Operators Association Limited as a non-profit organization, aims to represent and protect the interests of money service operators, maintain communication with government departments, strive to assist Hong Kong to align with requirements set out by international organizations, and at the same time provide members a platform to share market information and experiences as well as to provide workshops and seminars on relevant law and regulations for working and non-working force.

香港金錢服務業協會有限公司為一個非牟利機構，協會旨在代表和保障金錢服務業經營者權益，與政府部門保持溝通，竭力協助香港符合國際組織相關要求，同時提供一個讓會員分享市場資訊和經驗的平台，並提供條例教學及講座，供在職及非在職人士參加。

Programme Information 課程資料		Remarks 備註
PE4200051	金錢服務經營者打擊洗錢課程 - 客戶盡職審查及個案分析 Anti-Money Laundering Course for Money Service Operators - Customer Due Diligence and Case Studies 日期：05.02.15 (Thu) 時間：7:30pm - 9:30pm 地點：9/F, VTC Tower, 27 Wood Road, Wanchai, Hong Kong 時數：2 hours 費用：HK\$300 Or HK\$200** (**licensed money service operators only)	Taught in English
PE4200083	金錢服務經營者打擊洗錢課程 - 持續監察及個案分析 Anti-Money Laundering Course for Money Service Operators - Ongoing Monitoring and Case Studies 日期：30.04.15 (星期四) 時間：7:30pm - 9:30pm 地點：香港灣仔活道27號職業訓練局大樓9字樓 時數：2小時 費用：HK\$300 Or HK\$200** (**只供金錢服務經營者)	中文授課 以英文輔助

** Fee Discount:

The fee for licensed money service operators is HK\$200 per person. To verify the eligibility to the special discount, licensed money service operators must enroll in the course through the Hong Kong Money Service Operators Association at Tel: 3176 2004, Email: info@msoa.hk, Fax: 3010 8582 or its office address: A2/F, Dolford Mansion, 1-3 Chatham Court, Tsim Sha Tsui, Kowloon.

學費優惠：

金錢服務經營者報讀本課程只須每位HK\$200。惟必須經由香港金錢服務業協會報名，以核實資格。

報名可聯絡香港金錢服務業協會

電話：3176 2004、傳真：3010 8582、電郵：info@msoa.hk

地址：九龍尖沙咀漆咸圍1-3號多福大廈2樓A室

ENROLLMENT FORM

本院專用 Office use only

ver 20130415

Received Date: _____ Handled by: _____

Remarks: _____

Application: ☐ Walk-in ☐ By Mail ☐ By Fax
Payment: ☐ Cash ☐ Cheque ☐ Credit Card

PARTICULARS OF APPLICANT (Please fill in name as it appears on your HKID card)

Name (Eng) : Mr./Ms./Miss (surname) _____ (given name) _____ (Chinese) : _____ 先生 / 女士 / 小姐

HKID No : _____ Date of Birth: (dd/mm/yyyy) _____

Mailing Address : _____

Company of Employment : _____ Position / Department : _____

E-Mail Address : (1) _____ (2) _____

Telephone (day-time) : _____ Mobile : _____ Fax : _____

Confirmation : Please specify how you would like to be notified: ☐ By mail ☐ By fax ☐ By email (If not specified, we will notify you by Mail)

PROGRAMME APPLIED FOR

Programme Code / Name : _____ Commencement Date (dd/mm/yyyy) : _____ / _____ / _____

FOR DIPLOMA / CERTIFICATE APPLICANTS ONLY

Module Code	Module Name	Commencement Date

Education History

Programme	Institution / Exam	Year of Graduation	Percentage of Completion(%)

■ Please "✓" this box if you want your application to be considered as mature student application. (Please refer to programme leaflet for details)

Employment History

If employed, please list out your employment history and positions you have held, which you consider relevant to the course for which you are applying. You may include certified copies of relevant references from employers. (Please use separate sheet if necessary)

Company Name	Duration: From / to	Position	Full time / Part time

* Please submit relevant qualification documents together with your application.

PAYMENT METHOD

For a programme commencing within 7 working days at the time of enrollment, trainees are required to settle the programme fee by cash.

☐ Cash Amount: HK\$ _____

☐ Cheque (payable to "Vocational Training Council")

Cheque No.: _____

☐ Credit Card

Card Number : _____



Expiry Date : _____ Amount: _____



Cardholder's Name

Authorized Signature

USE OF PERSONAL DATA

☐ I agree that my personal data provided, including my name, phone number, mobile number, email address, correspondence address and education level may be used by the VTC and its member institutions for providing direct marketing information in relation to any programmes, admission and events of VTC and its member institutions. (If you would like to unsubscribe from receiving the said information or update your personal data, please send your request with registered name, phone number, mobile number, email address, correspondence address and education level to peak@vtc.edu.hk or by fax to 2891 5707.)

NOTES FOR APPLICANTS

- Please use one form for each programme and photocopy the form if necessary.
- If you pay the programme fees by cheque, please return the form to us by mail or in person with a crossed cheque (one cheque for one course) payable to "Vocational Training Council". If you pay the programme fees by credit card, please return the form to us by fax/ by mail/ in person.
Fax: 2891 5707 Address: PEAK, 9/F, VTC Tower, 27 Wood Road, Wanchai, Hong Kong
- If you choose to return the enrollment form and cheque payment to PEAK by fax or by mail, please call us at 2836 1906 to confirm our receipt of your application and payment.
- All places are allocated on a first-come-first-served basis. Incomplete forms and forms received without payment will be regarded as unsuccessful applications.
- Applicants/Trainees must read carefully and observe the Programme Administration Guidelines stipulated in PEAK's Programme Prospectus. The Programme Administration Guidelines are also available from PEAK Office and on PEAK Website.
- CPD/CPT credits will be deducted for late trainees on a pro-rata basis. MPFA & SFC credits will be given only when students attend more than 80% of the programme. Minimum unit of above mentioned credits is 0.5 credit. Lateness or early leave which is less than 0.5 hour will still be counted as 0.5 hour (according to PEAK's clock).

CONTINUING EDUCATION FUND (CEF)

☐ Apply for CEF* (Please submit with CEF application form and HKID card copy at least 10 working days before commencement of course. No late submission will be accepted)

☐ CEF Applied / Not applying CEF*

Where did you learn about this course?

☐ Yahoo ☐ Google ☐ PEAK Website ☐ Email ☐ Leaflet

☐ Prospectus ☐ Friend ☐ Newspaper/Magazine (Name: _____)

☐ Education Expo

☐ Other **MSOA-EDM1**

CONTACT METHOD

For all further notice (e.g. Class reschedule), we will contact you by email. Students without email will be contacted by phone.

DECLARATION

- I declare that all information provided in this enrollment form is, to the best of my knowledge, accurate and complete.
- I acknowledge and agree that my personal data will be used by PEAK for enrollment, programme and administrative purposes.
- I acknowledge and agree that PEAK may check my ID card to verify my identity while I am participating in CPD/CPT programme.
- I understand that I should provide as much information to PEAK as I can so that my application can be processed efficiently.
- I acknowledge and agree that PEAK may determine my lateness and early departure from a programme according to PEAK's clock. I also agree to Point 6 of the Notes for Applicants which defines the circumstance for deduction of CPD, SFC and MPFA credits.
- The programme fees paid are non-refundable, except for cases of unsuccessful applications and programme cancellation. Fees paid and places enrolled are not transferable, and request for programme swapping will not be entertained.

I have read, understood and agreed to follow PEAK's rules and guidelines stipulated in "Programme Administration Guidelines", "Notes to Applicants", and "Declaration", and PEAK's policy on handling applicants' personal data.

Applicant Signature

Date